

(8)

2014 01 01

2014 09 30

33
2-55 1
(1)
.

2014 09 30

: _____
: _____ ()
: _____ 136,14 (17가)
_____ () 02-3707-3400
_____ () www.alliancebernstein.co.kr
: _____ () _____
_____ ()

.

1. 가.

. 가
. .

2. 가.

. , . , . ,
. . .

3. 가.

.

4. 가.

.
. .

5.

6.

.

1. 가.

.
. .
. .
. .

2. 가.

.

1.

2.

3.

.

1. 가.
.
.
.
.

2. 가. () ,
: ()
: ()

3. 가.

4. 가.
.

.

1.

2.

.

1.

2. 가.
:
.

.

1. 가.가 ()
:
:
:
:
:
:
:

2.

.

1.

2. 가 .

.
.
.

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1. 가 .

.
.

2. 가 .

.

3.

4. 가 .

.
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.

1.

2.

■

1.

가.

	136,14 (1가)
	20071009
	12
	15,000,000,000
가 ()	5,000
	3,000,000
	0
	www.alliancebernstein.co.kr
	02-3707-3400
가	

가 ()				가 ()	
3-11-1		299 1 , 5		20090204	40
5-2-1		,		20090204	5
6-2-1		,		20090204	15

	가 ,)	20090303
	, , , ,	20100826

2.

가.

- 2007 10 - 2008 7 가 , - 2008 8 - 2009 2 - 2009 10	

- : 2008.08.13 - : 가 - : - : 2009.10.22 - : - :	

• , , • ,

.

3.

가.

(: ,)

()	()	()			()
				가	
20110720			1,000,000	5,000	5,000

(: ,)

					가			가	
						(%)	가		

4.

가.

(: ,)

	가			
	3,000,000	3,000,000	0	

(: ,)

	165 2						
	165 2						

.

(1)

(: ,)

		가						1		
		가					가			

(2)

(: ,)

		가							

5.

(:)

(A)		3,000,000	
		0	
(B)		0	
		0	
가 (C)		0	
		0	
(D)		0	
		0	
(E=A -B -C+D)		3,000,000	
		0	

6.

(: , ,%)

가			
(A)			
(B)			
(=B/A × 100)			

■

1.

가.

(1)

(:)

					가	
	가					
	가					
	가					
ETF						
	ELS ELW					

(3)

(: ,)

								가

(1)

(:)

		가					
		가					
		가					
		ELS					
	ELW						

(2)

(: ,%)

					(A)	(B)	(A+B)				
		0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0
		0.1	0.65	0.04	0.03	0.82	0	0.83	0	0	0
		0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0
		0.1	0.65	0.04	0.03	0.82	0	0.83	0	0	0
		0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0
		0.1	0.65	0.04	0.03	0.82	0	0.83	0	0	0
		0.1	0.65	0.04	0.03	0.82	0	0.83	0	0	0
		0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0
		0.1	0.65	0.04	0.03	0.82	0	0.83	0	0	0

(3)

(:)

		40,727,892.517	4,651,627.931								40,727,892.517	4,651,627.931
		0	0								0	0
		0	0								0	0
		0	1,367,090.367,965								0	1,367,090.367,965
		0	0								0	0
		0	0								0	0
		0	0								0	0
		0	0								0	0
		-39,836,569.662	0								-39,836,569.662	0
		0	0								0	0
		8,076,109.709	0								8,076,109.709	0

(4)

(단) () (: ,%)

		319,019,289,245	24	N
		267,406,541,145	20	N
		153,652,431,408	12	N
	SC	103,071,192,683	8	N
	()	85,558,521,952	6	N
		47,257,863,005	4	N
		40,951,669,387	3	N
		38,780,112,488	3	N
		31,193,482,391	2	N
	()	28,518,305,999	2	N
		19,960,939,424	2	N
		19,028,952,552	1	N
	BK	18,010,192,319	1	N
		17,290,943,977	1	N
		17,068,453,161	1	N
		13,376,572,774	1	N
	()	12,555,838,392	1	N
		12,093,716,843	1	N
	()	10,603,352,663	1	N
	LG	10,540,512,676	1	N
	()	10,030,879,152	1	N
		9,590,500,004	1	N
		9,349,560,469	1	N
		8,091,153,304	1	N
		6,778,739,827	1	N
	HI	4,643,712,245	0	N
		3,519,235,691	0	N
	HSBC	2,650,918,624	0	N
		2,603,257,052	0	N
		2,576,181,802	0	N
		2,508,869,207	0	N

(4)

¶) () () (: ,%)

	.			
		2,136,852,227	0	N
	SK	1,983,481,652	0	N
	KTB	1,229,985,627	0	N
		158,315,212	0	N
	HMC ()	113,719,093	0	N
		90,352,096	0	N
		87,843,173	0	N
	()	64,007,603	0	N
	KB ()	53,280,739	0	N
		39,111,846	0	N
		1,334,238,841,129	100	

()

(: ,)

HSBC	8	1,499,363,507,895	1,584,467,083,041	8	1,334,238,841,129	1,359,816,786,106	
	8	1,499,363,507,895	1,584,467,083,041	8	1,334,238,841,129	1,359,816,786,106	

()

(: ,)

()							
HSBC	8	1,499,363,507,895	1,584,467,083,041	8	1,334,238,841,129	1,359,816,786,106	
	8	1,499,363,507,895	1,584,467,083,041	8	1,334,238,841,129	1,359,816,786,106	

()

(1)

			02-3707-3412		20000519	2010.5 2011.12 2 2009.5 2010.5 KDB 2008.5 2009.5 2002.7 2008.5 FICC	20120109		6109014890
			02-3707-3447		20010419	2005.1 2009.6 PCA	20090826		6109014658
			02-3707-3413		20000519	1999 2008.6 ,1996 1999	20080923		6109015202
			02-3707-3446		20060630	2007.11 2010.11	20101213		6109009428
			02-3707-3435		20081227	2012.5 2013.8 ,2008.5 2012.5 RetailSales	20130926		-

(2)

(: ,)

	0	0	0
	0	0	0
	0	0	0

(3)

(:)

		0	0	0
		0	0	0
		0	0	0
		0	0	0
		0	0	0
		0	0	0
		0	0	0
		0	0	0
		0	0	0

(4)

(:)

					()	()						
		0	0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0	0

(5)

(: , ,%)

1	0	0	0	0	0	0	0	0	0
1 3	0	0	0	0	0	0	0	0	0
3 5	0	0	0	0	0	0	0	0	0
5 10	0	0	0	0	0	0	0	0	0
10 50	0	0	0	0	0	0	0	0	0
50 100	0	0	0	0	0	0	0	0	0
100	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	0	0	0
	0	0	0	0			0	0	0

()

(1)

			02-3707-341 0		20000519	2010.5 2012.12 FICC 2 2009.5 2010.5 KDB 2008.5 2009.5 2002.7 2008.5	20120109		Y	Y	0	0
			02-3707-344 7		20010419	2005.1 2009.6 PCA	20090826		Y	N	0	0
			02-3707-341 3		20000519	1999 2008.6 96 1999 , 19	20080923		Y	N	0	0
			02-3707-344 6		20060630	2007.11 2010.11	20101213		Y	N	0	0
			02-3707-343 5		20081227	2012.5 2013.8 , 2008.5 2012.5 RetailSales	20130926		Y	N	0	0

(2)

(: ,)

	1	1	0
	1	1	0
()	0	631,478,791	631,478,791
(가)	0	632,824,487	632,824,487

(3)

(:)

		0	0	0
		0	73,132	73,132
		0	73,132	73,132
		0	0	0
		0	0	0
		0	0	0
		0	0	0
		0	73,132	73,132
		0	73,132	73,132

(4)

(:)

			() ()	.					
	0	0	0	0	0	0	0	0	0
	0	0	0	631,478,791	0	0	0	0	631,478,791
	0	0	0	631,478,791	0	0	0	0	631,478,791
	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	0	0	0
	0	0	0	631,478,791	0	0	0	0	631,478,791
	0	0	0	631,478,791	0	0	0	0	631,478,791

(5)

(: ,%)

1	0	0	0	0	0	0	0	0	0
1 3	0	0	0	0	0	0	0	0	0
3 5	0	0	0	0	0	0	0	0	0
5 10	0	1	1	100	0	631,478,791	631,478,791	100	
10 50	0	0	0	0	0	0	0	0	0
50 100	0	0	0	0	0	0	0	0	0
100	0	0	0	0	0	0	0	0	0
	0	1	1	0	0	631,478,791	631,478,791	0	

(6)

(:)

	60 535 829	80 294	60 616 123
	0	0	0
	0	0	0
	0	572,756,408	572,756,408
	0	0	0
	0	0	0
	0	0	0
	0	0	0
	0	0	0
	0	0	0
	60 535 829	572,836,702	633,372,531

(7)

()

	1	633,372,531	
	1	633,372,531	

.

(1)

የተ) () (:)

()

()

(:)

()

()

(:)

()

()

(:)

(2)

(: ,)

		가		

(3)

가)

(:)

			가				
			가				
			가				
			(,)				
		가					
			RA				

2.

가.

(: ,% ,%p)

		()	()	(-)	
1.	(=A-B+C)	7 530,605,770	8 618,656,873	1 088,051,103	14.45
B/S	(A)	11,217,664,262	11,383,162,876	165,498,614	1.48
	(B)	3,687,058,492	2,764,506,003	-922,552,489	-25.02
	가 .	32,000,475	25,320,549	-6,679,926	-20.87
	. , ,	871,999,900	942,785,661	70,785,761	8.12
	. 1	0	0	0	0
	. 3	0	0	0	0
	.	1,992,304,939	1,005,646,615	-986,658,324	-49.52
	.	0	0	0	0
	.	0	0	0	0
	.	0	0	0	0
	. 100 16	0	0	0	0
	.	0	0	0	0
	. 292,453,178	292,453,178	0	0	
	. 가	0	0	0	0
	.	498,300,000	498,300,000	0	0
	가 (C)	0	0	0	0
	가 .	0	0	0	0
.	0	0	0	0	
.	0	0	0	0	
. 가	0	0	0	0	
2.	(=D+E+F)	1,494,744,782	1,278,103,549	-216,641,233	-14.49
B/S	(D)	90,954,881	79,279,631	-11,675,250	-12.84
	가 .	72,000,000	72,000,000	0	0
	.	0	0	0	0
	.	18,954,881	7,279,631	-11,675,250	-61.59
	.	0	0	0	0
	.	0	0	0	0
	.	0	0	0	0
	(E)	28,927,521	28,574,736	-352,785	-1.22
	(F=max(가 ,)+ +)	1,374,862,380	1,170,249,182	-204,613,198	-14.88
	가 . 10%	550,000,000	550,000,000	0	0
.	0	0	0	0	
. 가	-	-	-	-	
.	824,862,380	620,249,182	-204,613,198	-24.81	
3.	(=(1/2)× 100)	504	674	170	33.73
4.	(1-2)	6,035,860,988	7,340,553,324	1,304,692,336	21.62

■

1.

		13,532,314,975
.		10,229,447,080
1.		10,229,447,080
1)		0
2)		2,500,000,000
3)		7,729,447,080
4)		0
5)		0
6)		0
7) MMDA		0
8) MMF		0
9)		0
10)		0
2.		0
1)		0
		0
		0
2)	()	0
		0
		0
		0
3)	()	0
		0
		0
		0
4)		0
5)		0
6)		0
	()	0
	()	0
	()	0

1. ()

()	0
7)	0
()	0
()	0
()	0
()	0
8)	0
9)	0
10)	0
11)	0
12)	0
13)	0
.	798,300,000
1.	0
1)	0
2)	0
3) .	0
4)	0
5)	0
6)	0
	0
	0
7)	0
8)	0
	0
	0
	0
9)	0
2. 가	798,300,000
1)	300,000,000
2)	498,300,000

1. ()

3)	.	0
4)		0
5)		0
6)		0
		0
		0
7)		0
8)		0
		0
		0
		0
9)		0
3.		0
1)	.	0
2)		0
3)		0
4)		0
4.		0
1)		0
		0
		0
		0
2)		0
		0
		0
		0
5.		0
1)	가	0
2)		0
3)		0
4)	가	0

1. ()

5)	가	0
.		0
1.		0
1)		0
2)		0
3)		0
2.		0
1)		0
2)		0
3)		0
3.		0
1)		0
2)		0
3)		0
4.		0
1)		0
2)		0
3)		0
5.		0
1)		0
2)		0
3)		0
6.		0
7.	가	0
8.	가	0
.		0
(가)(-)		0
(가)(+))		0
()		0
1.		0
2.		0

1. ()

1)	0
2)	0
3)	0
4)	0
5)	0
3.	0
4.	0
1)	0
2)	0
3)	0
5.	0
6. ()	0
7. ()	0
8.	0
9.	0
1)	0
2) ()	0
3)	0
4)	0
5)	0
10.	0
11.	0
12.	0
13.	0
14.	0
15.	0
16. (-)	0
1)	0
2)	0
3)	0
4)	0

1. ()

5)	0
6) ()	0
7) ()	0
8)	0
9)	0
10)	0
11)	0
12)	0
13)	0
14)	0
15)	0
.	25,320,549
()	0
1.	0
2.	0
3.	0
4.	0
5.	490,256,413
6.	0
7. ()	0
8. ()	0
9.	665,137,374
10. 가 (-)	1,130,073,238
1)	0
2)	0
3)	0
4)	490,256,413
5)	639,816,825
11. 가 ()(-)	0
12. ()(-)	0
.CMA ()	0

1. ()

1. CMA	0
2. CMA	0
3. CMA	0
4. CMA	0
5. ()(-)	0
. ()	0
1.	0
2.	0
3. ()(-)	0
.	2,479,247,346
(가)(-)	0
(가)(+))	0
1.	1,005,646,615
1)	0
	0
	0
	0
	0
2) ()	0
	0
	0
	0
3) ()	0
	0
	0
4)	0
5)	1,005,646,615
2.	238,361,892
1)	238,105,728
	0

1. ()

	0
	0
	0
	0
	0
	238,105,728
	0
	0
	0
2)	256,164
	0
	0
	0
	256,164
3)	0
4)	0
5)	0
3.	0
1)	0
2)	0
3)	0
4)	0
4.	68,997,451
5.	26,634,733
1)	0
2) 가가	26,634,733
3)	0
6.	0
7.	0
8.	0
1)	0

1. ()

2)	0
9.	292,453,178
1)	0
2) 가	0
3)	292,453,178
4)	0
5)	0
10.	0
()	0
1)	0
2)	0
3) 가 (-)	0
11.	0
1)	0
2)	0
3)	0
12.	0
()	0
1)	0
2)	0
3)	0
4)	0
5)	0
13.가	0
14.	847,153,477
15.	0
16.	0
17. (-)	0
1)	0
2)	0
	0

1. ()

	0
	0
3)	0
4)	0
	2,149,152,099
.	0
1.	0
1)	0
2)	0
3)	0
4)	0
5)	0
6)	0
7)	0
2.	0
1)	0
2)	0
3)	0
4)	0
3. ()	0
4. CMA ()	0
5.	0
.	0
(가)(-)	0
(가)(+)	0
1.	0
2.	0
1)	0
2)	0
3)	0
4)	0

1. ()

5)	0
3.	0
4.	0
1)	0
2) .	0
3)	0
4)	0
5)	0
5.	0
1) 가	0
2)	0
3)	0
4) 가	0
5) 가	0
6.	0
()	0
7.	0
()	0
8.	0
9. ()	0
10.	0
.	0
1.	0
1)	0
2)	0
3)	0
2.	0
1)	0
2)	0
3)	0
3.	0

1. ()

1)	0
2)	0
3)	0
4.	0
1)	0
2)	0
3)	0
5.	0
1)	0
2)	0
3)	0
6.	0
7. 가	0
8. 가	0
.	2,149,152,099
(가)(-)	0
(가)(+))	0
1.	1,105,926,731
1)	1,105,926,731
2) (+, -)	0
3) 가 (-)	0
4) (-)	0
(-)	0
(-)	0
2.	238,075,158
1)	0
2)	238,075,158
3)	0
4)	0
5)	0
3.	0

1. ()

4.	0
5.	90,995,388
6.	709,753,925
7.	0
8.	0
9.	0
10.가	0
11.	0
12.	0
13.	0
1)	0
2)	0
14.	0
15.	0
16.	0
17.	0
18.	4,400,897
.	0
1.	0
2.	0
	11,383,162,876
.	15,000,000,000
1.	15,000,000,000
2.	0
1)	0
2)	0
3)	0
.	0
1.	0
2.	0
3.	0

1. ()

4.	0
.	- 72,120,000
1.	- 72,120,000
2.	0
3.	0
4.	0
5.	0
6.	0
.	0
1. 가 가	0
1)	0
2)	0
3) .	0
4)	0
5)	0
6)	0
7)	0
	0
	0
	0
8)	0
2.	0
3.	0
4. 가	0
5.	0
6. 가	0
7.	0
.	- 3,544,717,124
1.	0
2.	0
3.	0

1. ()

4.	0
5.	0
6.	0
7.	-3,544,717,124
()	-423,046,533

2.

.	4,295,359,950
1.	4,145,563,173
1)	0
가	0
	0
	0
	0
	0
	0
	0
	0
	0
2)	0
	0
	0
3)	0
4)	0
	0
	0
5)	0
	0
	0
	0
6)	1,121,515,947
	1,121,515,947
	0
	0
7)	0
8)	0
가	0
	0

2. ()

	0
	0
9)	0
가	0
	0
	0
10)	0
	0
	0
	0
	0
	0
	0
	0
11)	0
12)	0
13)	0
14)	3,024,047,226
2. 가	0
1)	0
	0
	0
	0
	0
	0
	0
	0
	0
2) 가	0
가	0
가	0

2. ()

가	0
가	0
가	0
	0
3) 가	0
	0
	0
	0
	0
가	0
	0
4)	0
	0
	0
	0
5)	0
	0
	0
6) 가	0
가	0
가	0
	0
7)	0
가	0
	0
	0
8) 가	0
가	0
	0
	0

2. ()

9)		0
가		0
		0
		0
10)	가	0
가		0
		0
		0
11)		0
가		0
		0
		0
3.		0
1)		0
		0
		0
		0
		0
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		0
		0
2)	가	0
가		0
가		0
가		0
가		0
가		0
가		0
가		0
가		0
4.		144,062,952
1)		0
		0

2. ()

	0
	0
	0
	0
2)	0
3)	0
4)	0
5)	0
6)	0
7)	0
8)	0
9)	0
10)	0
11)	0
12)	0
13)	0
14)	0
15)	144,062,952
16)	0
17)	0
18)	0
19)	0
5.	0
1)	0
2) 가	0
3)	0
4)	0
6.	5,733,825
1)	0
2)	5,733,825

2. ()

7.		0
1)		0
2)		0
3) 가		0
4) CMA ()		0
CMA		0
		0
5) CMA ()		0
6) ()		0
		0
		0
		0
		0
7)		0
8) 가		0
9)		0
		0
		0
		0
		0
10)		0
11) 가		0
12) 가		0
13) 가		0
14) 가		0
15)		0
8.		0
1)		0
		0
		0

2. ()

2)	가	0
	가	0
		0
3)		0
		0
		0
		0
4)	가	0
	가	0
	가	0
		0
5)		0
.		4,774,304,205
1.		0
1)		0
		0
		0
2)		0
3)		0
4)		0
5)		0
6)		0
7)		0
2.	가	0
1)		0
		0
		0
		0
		0
		0

2. ()

	0
	0
2) 가	0
가	0
가	0
가	0
가	0
가	0
	0
3) 가	0
	0
	0
	0
	0
가	0
	0
4)	0
	0
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	0
5)	0
	0
	0
	0
6) 가	0
가	0
가	0
	0
7)	0
가	0

2. ()

	0
	0
8) 가	0
가	0
	0
	0
9)	0
가	0
	0
	0
10) 가	0
가	0
	0
	0
11)	0
가	0
	0
	0
3.	0
1)	0
	0
	0
	0
	0
	0
	0
2) 가	0
가	0
가	0
가	0

2. ()

가	0
가	0
가	0
3)	0
4.	0
1)	0
	0
	0
	0
2)	0
3)	0
4)	0
5)	0
6)	0
7)	0
8)	0
9)	0
10)	0
11) CMA ()	0
12)	0
13)	0
5. 가	0
1)	0
2) 가	0
3)	0
4)	0
6.	23,639,904
1)	23,639,904
2)	0
7.	4,750,664,301

2. ()

1)	2,323,113,555
	460,073,347
	1,863,040,208
2)	832,947,660
	30,527,730
()	0
()	30,527,730
	802,419,930
()	0
()	802,419,930
3)	0
4)	175,512,898
5)	190,561,735
6)	238,505,068
7)	215,807,874
8)	60,343,683
9)	0
10) 가	20,039,775
11)	29,365,015
12)	1,442,000
13)	0
14)	72,585,787
15)	0
16)	90,906,016
17)	0
18)	0
19)	213,015,571
20)	45,438,312
21)	83,215,629
22)	0

2. ()

23)	3,914,358
24)	7,125,100
25)	135,100
26)	0
27)	146,689,165
8.	0
1) 가	0
2) ()	0
가	0
	0
	0
	0
	0
3)	0
4) 가	0
5)	0
	0
	0
	0
	0
6)	0
7) 가	0
8) 가	0
9) 가	0
10) 가	0
11)	0
9.	0
1)	0
	0
	0

2. ()

2)	가	0
	가	0
		0
3)		0
		0
		0
4)	가	0
	가	0
	가	0
		0
5)		0
.	()	- 478,944,255
.		74,177
1.		0
2.		0
3.		0
4.		0
5.		0
6.		0
7.		0
8.		0
9.		0
10.		0
11.		0
12.		0
13.		0
14.		0
15.		0
16.	가	0

2. ()

17.	74,177
.	7,380,032
1.	0
2.	0
3.	0
4.	0
5.	0
6.	0
7.	0
8.	0
9.	0
10.	0
11.	0
12.	0
13.	0
14.	0
15.	0
16.	0
17. 가	0
18.	7,380,032
.	- 486,250,110
.	- 63,203,577
. ()	- 423,046,533
.	0
. ()	- 423,046,533
()	0
()	- 423,046,533
.	0
1. 가 가	0
2.	0

2. ()

3.	0
4. 가	0
5. ()	0
6. 가	0
7.	0
8.	0
. ()	- 423 046 533

3.

■

	2010.7.7 2010.4 2010.7.6 2007.10 2010.3 2006.1 2007.9 Priva te Client Group 2000.5 2005.12		
	AllianceBernstein Singapore Ltd. Senior Vice President		
	2010.10 AllianceBernstein L.P. COO		
	2002.09 2006.02 Clifford Chance 2006.03 AllianceBernstein Asia ex Japan		

			가	
	20140321	7	가	
	20140613	가	가	
	20140729		가	

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2.

가. () ,

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		AllianceBernstein	

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			가	
	20140321	2014 3 21		

3.

가.

4.

가.

(1)

AllianceBernstein		0.0		

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				가			가			가			()		
				60,000	14	300,000,000	0	0	60,000	0	300,000,000	20130916		0	
				60,000	0	300,000,000	0	0	60,000	0	300,000,000			0	

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1.

(: ,%)

					가				
Alliance Bernstein Corporation of Delaware			3,000,000	100	0	0	3,000,000	100	

2.

(: ,%)

		0	0	

■

1.

(: ,)

	()	
	6	14
	0	0
	0	0
	0	0
	0	0
	0	0
	0	0

가.

(:)

		0	0	0	0
		4	0	0	4
		0	0	0	0
		1	0	0	1
		12	0	0	12
		1	0	0	1
		0	0	0	0
		0	0	0	0
		18	0	0	18

(:)

		19630225		2010.7 07, 2010.4 201 007.10 2010.3, 2	20100707	20130707	20160706		0	0
		19590319		AllianceBe mstein Singapore Ltd. Senior Vice President	20110225	20140225	20170224		0	0
		19741001		AllianceBe mstein L.P. Senior Vice Pres ident/ COO	20140102	20140102	20170101		0	0
		19781030		2002.09 2006.02 Cliff ord Chance 2006.03 Alliance Be mstein Asia ex Japa n	20110627	20140627	20170626		0	0
		19671004		AllianceBe mstein	20130409	20130409	20160408		0	0

(: ,)

												가	가	

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1.

가.가

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(:)

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		가					

(:)

	()						
				가			

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(1)

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(2)

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					가 가	

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(:)

2.

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1.

2.

가.

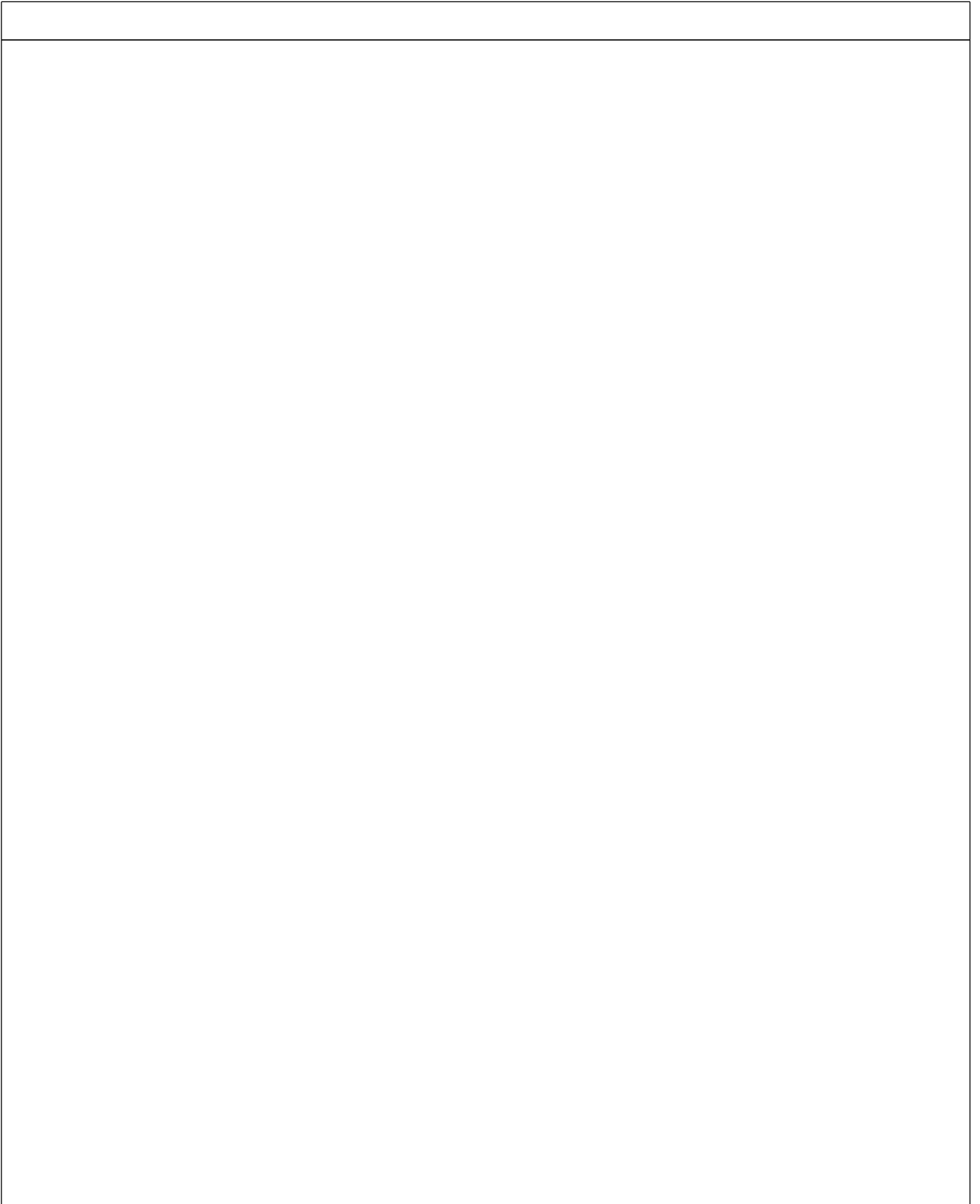
(: ,%)

		(A)	(B)							(B/A)

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(:)

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(:)

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가

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가

3.

4.

가.

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(1)

(: ,)

(2)

(:)

(3)

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(4)

(:)

	(A)	(B)	(C)	(B - C)	

(5)

(: ,)

			1

(5)

(: ,)

			1

■

1.

(:)

2.